SHEET

PTO/SB08A (08-00)

Approved for use through 10/31/2002 OMB 0651-0031

Patent and Trademark Office; U. S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitute for form 1449A/PTO COMPLETE IF KNOWN Application Number 09/939.237 Confirmation Number 3412 INFORMATION DISCLOSURE August 24, 2001 Filing Date STATEMENT BY APPLICANT First Named Inventor Raul Victorino Nunes (use as many sheets as necessary) Group Art Unit 1761 Examiner Name Not Yet Assigned Attorney Docket Number 8270 1 of 1

## **U. S. PATENT DOCUMENTS**

EXAMINER Cite No.1		U.S. PATENT DOCUMENT Number Kind Code <sup>2</sup> (if known)		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	
1:154	1	4,228,198		Burge, et al.	Oct. 14, 1980		
(1	2	4,786,510		Nakel, et al.	Nov. 22, 1988		
	3	5,292,729		Ashmead	Mar. 8, 1994		
1	4	5,296,245		Clarke, et al.	Mar.22, 1994		
	5	5,336,506		Josephson, et al.	Aug. 9, 1994		
	6	5,866,190		Barey	Feb. 2, 1999		
<del></del>	7	6,241,996 B1		Hahn	Jun. 5, 2001		

#### FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS*	Cite No.1	FO Office <sup>3</sup>	PREIGN PATENT DO Number <sup>4</sup>	CUMENT Kind Code <sup>5</sup> (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T <sub>6</sub>
1.17	8	EP	0 256 645	J	Ashmead	Feb. 24, 1988		
\ \- • • • \	8	-EP	0 273 001		Bunick, et al.	Jun. 29, 1988		
:	/10	EP	0 328 317		Konno, et al.	Aug. 16, 1989		
i	11	EP	0 400 484		Ninomiya, et al.	Dec. 5, 1990		
	12	EP	0 670 167 A1	-	Menz, et al.	Sept. 6, 1995		
	_ 13	EP	1 106 174 A1	-	Chen, et al.	Jun. 13, 2001		
	14	JP	06279291	•	Suzuki	Oct. 4, 1994		
	15	JP	2001333735	-	Kubota	Dec. 4, 2001		
1 V	16	wo	01/47376 A1	2	Kubota	Dec. 20, 2000		

### OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

EXAMINER INITIALS*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published					
148	17	ZHURAVSKAYA, N.A., et al. – "Concentration of proteins as a result of the phase separation of water-protein-polysaccharide systems. I. Phase equilibria in water-milk proteins-polysaccharide systems."  International Food Information Service, Database Accession No. 87-1-04-a0037, XP-002194869.					
EXAMINER	Né	DATE CONSIDERED 7-8-43					

EXAMINER: Initial if reference considered, whether or not citation is in conformance with M.P.E.P. 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4For Japanese documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, D.C. 20231.

Approved for use through 10/31/2002 OMB 0651-0031

Patent and Trademark Office; U. S. DEPARTMENT OF COMMERCE objection of information unless it contains a valid On Departrol number

Under the Paperwork Reduction Act of 1995, no persons are regarded to respond to a collection of information unless it contains a valid ON Decentrol number

INFORMATION DISCLOSURE

STATEMENT BY APPLICANT

Substitute for form 1449A/PTO

(use as many sheets as necessary)

SHEET 1 of 2

COMPL	ETE IF KNOWN / C
Application Number	09/939,237
Confirmation Number	3412 2002
Filing Date	August 24/2001
First Named Inventor	Raul Victorino Nunes
Group Art Unit	1761
Examiner Name	
Attorney Docket Number	8270

# **U. S. PATENT DOCUMENTS**

EXAMINER INITIALS*	Cite No. <sup>1</sup>		MENT nd Code <sup>2</sup> f known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
10	1	3,294,544		Stanko	Dec. 27, 1966	
14	2	3,704,138		La Via, et al.	Nov. 28, 1972	
	3	4,950,751		DeWitt	Aug. 21, 1990	
	4	5,116,969		Adams, et al.	May 26, 1992	
	5	5,139,800		Anderson, et al.	Aug. 18, 1992	
	6	5,547,997		Kludas	Aug. 20, 1996	
	7	5,614,501		Richards	Mar. 25, 1997	
	9	5,756,098		Price, et al.	May 26, 1998	
	10	5,851,578		Gandhi	Dec. 22, 1998	
	11	5,882,520		Richards, et al.	Mar. 16, 1999	
	12	6,004,610		Wang, et al.	Dec. 21, 1999	

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS*	Cite No.1	FO Office <sup>3</sup>	REIGN PATENT DO Number <sup>4</sup>	CUMENT Kind Code <sup>5</sup> (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
13#/	.13	EP	0 138 784 A2	-	Svensson, et al.	Aug. 20, 1984		
	14	JP	2-154,673	-	Fuse, et al.	Jun. 14, 1990		X
	15	WO	94/13160	,	Anderson, et al.	Jun 23, 1994		
	16	WO	98/04156	-	Bateman	Feb. 5, 1998		
	11	WO	98/06418		McAnalley, et al.	Feb. 19, 1998		
!	18	WO	98/22512	,	Richards	May 28,1998		
1	19	WO	99/01115	-	Lee, et al.	Jan. 14, 1999		
	20	WO	99/15033		Richards	Apr. 1, 1999		
	21	WO	99/17618	-	Yatka, et al.	Apr. 15, 1999		
<b>*</b>	/22	wo	99/55736	-	Mak, et al.	Nov. 4, 1999		

## OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

EXAMINER INITIALS*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published						
13	23	SIEBERT, KARL J., CARRASCO, AUREA, and LYNN, PENELOPE Y. "Formation of Protein – Polyphenol Haze in Beverages"; Journal Agric. Food Chem. 1996, 44, 1997-2005.						
	24	Product Label: Celestial Seasonings – Echinacea Complete Care Ultimate Blend with Zinc & Vitamin C – 1999.						
	25	Product Label: Glaceau – Vitamin Water Defense - Lemon Ice (c + zinc) - Date of public disclosure unknown.						
	26	www.npicenter.com/index.asp?action=NBViewDoc&DocumentID=1735 - Odwalla Introduces Glorious Morning, September 6, 2001.						
J	www.tropicanasmoothies.com Tropicana Smoothies – Date of public disclosure unknown, viewed 4/19/2001.							
EXAMINER	701.	DATE CONSIDERED 7-8-03						

EXAMINER: Initial if reference considered, whether or not citation is in conformance with M.P.E.P. 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 5Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Park Land Frademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Park Washington, D.C. 20231.

JAN 0 9 2002 IV